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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------------|---------------|--------------------------|---------------------|------------------|
| 09/833,109 | 04/11/2001 | David G. Wuchinich | DW1 | 6461 |
| 75 | 90 03/26/2002 | | | |
| Aaron Passman | | | EXAMINER | |
| 9632 Windom F Las Vegas, NV | | | SIRMONS, KEVIN C | |
| | | | ART UNIT | PAPER NUMBER |
| | | | 3763 | |
| | | DATE MAIL ED: 03/26/2002 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | | | |
|---|---|--|--|--|--|--|--|
| _ | 09/833,109 | WUCHINICH, DAVID G. | | | | | |
| Office Action Summary | Examiner | Art Unit | | | | | |
| | Kevin C. Sirmons | 3763 | | | | | |
| The MAILING DATE of this communication app Period for Reply | pears on the cover sheet with the | correspondence address | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPL' THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a repl If NO period for reply is specified above, the maximum statutory period or Failure to reply within the set or extended period for reply will, by statute - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). Status | 36(a). In no event, however, may a reply be y within the statutory minimum of thirty (30) d will apply and will expire SIX (6) MONTHS fro cause the application to become ABANDON | timely filed ays will be considered timely. om the mailing date of this communication. NED (35 U.S.C. § 133). | | | | | |
| 1) Responsive to communication(s) filed on 111 | February 2001 . | | | | | | |
| 2a) ☐ This action is FINAL. 2b) ☐ Th | nis action is non-final. | | | | | | |
| 3) Since this application is in condition for allows closed in accordance with the practice under | ance except for formal matters, Ex parte Quayle, 1935 C.D. 11, | prosecution as to the merits is , 453 O.G. 213. | | | | | |
| Disposition of Claims | , | | | | | | |
| 4) Claim(s) 1-11 is/are pending in the application | | | | | | | |
| • | 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| · — | Claim(s) is/are allowed. | | | | | | |
| 6)⊠ Claim(s) <u>1-11</u> is/are rejected. | | | | | | | |
| 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/o | or election requirement | | | | | | |
| Application Papers | r election requirement. | | | | | | |
| 9)☐ The specification is objected to by the Examine | er. | | | | | | |
| 10)☐ The drawing(s) filed on is/are: a)☐ acce | pted or b)☐ objected to by the Ex | kaminer. | | | | | |
| Applicant may not request that any objection to th | | | | | | | |
| 11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved by the Examiner | | | | | | | |
| If approved, corrected drawings are required in re | ply to this Office action. | | | | | | |
| 12) ☐ The oath or declaration is objected to by the Ex | kaminer. | | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | | |
| 13) Acknowledgment is made of a claim for foreign | n priority under 35 U.S.C. § 119 | (a)-(d) or (f). | | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | | | |
| 1. Certified copies of the priority documents have been received. | | | | | | | |
| 2. Certified copies of the priority document | | | | | | | |
| 3. Copies of the certified copies of the prio application from the International Bu* See the attached detailed Office action for a list | ıreau (PCT Rule 17.2(a)). | | | | | | |
| 14) ☐ Acknowledgment is made of a claim for domest | ic priority under 35 U.S.C. § 119 | e(e) (to a provisional application). | | | | | |
| a) The translation of the foreign language pro 15) Acknowledgment is made of a claim for domest | | | | | | | |
| Attachment(s) | | | | | | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) | 5) Notice of Informa | ary (PTO-413) Paper No(s) al Patent Application (PTO-152) | | | | | |
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DETAILED ACTION

The examiner acknowledges the changes submitted with a preliminary amendment filed on June 17, 2001. A power of attorney was signed by applicant empowering Aaron Passman.

Additionally, a change of correspondence address has been received.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Claims 1-3, 5, 6, 9 and 10 are rejected under 35 U.S. C. 102(e) as being anticipated by Boukhny U.S. Pat. No. 6,077,285.

Boukhny discloses an ultrasonic longitudinal-torsion tissue dissection system comprising: an electrical generator supplying alternating electrical voltage (26); an electro-mechanical transducer excited by the electrical generator (18, 20); a longitudinal-torsional resonator excited by the electro-mechanical transducer (16),



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note: Boukhny is capable of performing the function of (providing combined longitudinal and torsional motion in frequency synchronism); a tip shaped (12, 13), note: Boukhny's device is fully capable of performing the function of cutting.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 4, 7, 8, and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boukhny U.S. Pat. No. 6,077,285 in view of Banko U.S. Pat. No. 3,589,363.

Boukhny discloses an ultrasonic device substantially as claimed except for: a source of irrigation fluid/vacuum source connected to said resonator. Banko discloses the utilization of suction and irrigation in the same field of endeavor to aid in the removal of unwanted particles. It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the device of Doukhny using the irrigation and suction means as disclosed by Banko for the removal of unwanted particles.

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Response to Amendment

Claim Rejections - 35 USC § 112

Applicant has amended the claim to overcome the 112 rejections. Therefore, the rejections have been withdrawn.

Response to Arguments

Applicant's arguments filed 2/11/02 have been fully considered but they are not persuasive.

The examiner has reviewed applicant's response and disagrees with applicant's assessment of the disclosure thereof as regards being anticipated by Boukhny. Nowhere in Boukhny does it disclose that a transducer is **capable of only providing longitudinal and torsional motion separately.** Applicant is request to clearly point that specific language in the reference. The examiner is unable to find such language in the disclosure. Note: Applicant has clearly admitted on page 6, lines 16-18 that Boukhny's device is clearly capable of working simultaneously.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., simultaneous torsional and longitudinal motion using one electrical generator and removal of hard tissue such as bone or tissue) are not recited in the rejected claim(s).

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Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Moreover applicant should note that intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. See *In re Casey*, 152 USPQ 235 (CCPA 1967) and *In re Otto*, 136 USPQ 458, 459 (CCPA 1963).

The examiner notes that the basis for the majority of applicant's arguments comes from his specification. It is strongly suggested that applicant use structural language (not functional language) from the specification and place the language into the independent claims in order to place the claims in condition for allowance.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action.

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In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communication from the examiner should be directed to Kevin C. Sirmons whose telephone number is (703)306-5410. The examiner can normally be reached on Monday - Thursday from 6:30 am to 4:00 pm. The examiner can also be reached on alternate Fridays.

Kevin C. Sirmons Patent Examiner 3/20/02

BRIAN L. CASLER
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3700